



28th January 2022 – Issue 363

Securities Exchange Board of India vide Notification dated January 25, 2022, has issued Prohibition of Fraudulent and Unfair Trade Practices Relating To Securities Market (Amendment) Regulations, 2022

- SEBI vide Notification dated January 25, 2022, has issued Prohibition of Fraudulent and Unfair Trade Practices Relating To Securities Market (Amendment) Regulations, 2022.
- In exercise of the powers conferred under Section 30 of the Securities and Exchange Board of India Act, 1992 (15 of 1992), the Board hereby makes the following regulations to further amend the Securities and Exchange Board of India (Prohibition of Fraudulent and Unfair Trade Practices relating to Securities Market) Regulations, 2003.
- The Notification is herewith attached.

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Securities Exchange Board of India vide circular dated January 25, 2022, has issued Issuance of Securities in dematerialized form in case of Investor Service Requests

- Securities Exchange Board of India vide circular dated January 25, 2022, has issued Issuance of Securities in dematerialized form in case of Investor Service Requests.
- As an on-going measure to enhance ease of dealing in securities markets by investors, it has been decided that listed companies shall henceforth issue the securities in dematerialized form only(vide Gazette Notification no. SEBI/LAD-NRO/GN/2022/66dated January 24, 2022)while processing the following service request :



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- A. Issue of duplicate securities certificate;
 - B. Claim from Unclaimed Suspense Account;
 - C. Renewal / Exchange of securities certificate;
 - D. Endorsement;
 - E. Sub-division / Splitting of securities certificate;
 - F. Consolidation of securities certificates/folios;
 - G. Transmission;
 - H. Transposition;
- The circular is herewith attached.

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Securities Exchange Board of India vide Circular dated January 27, 2022, has issued an Introduction of Special Situation Funds as a sub-category under Category I AIFs

- Securities Exchange Board of India vide Circular dated January 27, 2022, has issued an Introduction of Special Situation Funds as a sub-category under Category I AIFs.
- SEBI (Alternative Investment Funds) Regulations, 2012 (“AIF Regulations”), have been amended and notified on January 24, 2022, to introduce Special Situation Funds(SSF), a sub-category under Category I AIF, which shall invest in ‘special situation assets’.
- The circular is herewith attached.

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